

11059 U.S. PTO  
08/28/01

110997 U.S. PTO  
09/28/01

***IN THE UNITED STATES PATENT AND TRADEMARK OFFICE***

Applicant: YU et al.

Title: TERMINALS HAVING SUB-BAND SUBSTITUTE  
SIGNAL CONTROL IN OPTICAL COMMUNICATION  
SYSTEMS

Appl. No.: Unknown

Filing Date: August 28, 2001

Examiner: Unknown

Art Unit: Unknown

**UTILITY PATENT APPLICATION**  
**TRANSMITTAL**

Commissioner for Patents  
Box PATENT APPLICATION  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is the nonprovisional utility patent application of:

Zhengchen YU  
Bill SHIEH  
Tom CLARK  
Vladimir PETRICEVIC

Applicant claims small entity status under 37 CFR 1.27.

Enclosed are:

- Specification, Claim(s), and Abstract (21 pages).
- Formal drawings (11 sheets, Figures 1-10(b)).
- Unexecuted Declaration and Power of Attorney (4 pages).
- Assignment of the invention to Sowilo Networks, Inc..
- Assignment Recordation Cover Sheet.
- Request for application not to be published with certification under 35 USC 122(b)(2)(B)(i).

Information Disclosure Statement.

Form PTO-1449 with copies of \_\_\_ listed reference(s).

Application Data Sheet (37 CFR 1.76).

The filing fee is calculated below:

	Claims as Filed	Included in Basic Fee	Extra Claims	Rate	Fee Totals
Basic Fee				\$710.00	\$710.00
Total Claims:	40	- 20	= 20	x \$18.00	= \$360.00
Independents:	3	- 3	= 0	x \$80.00	= \$0.00
If any Multiple Dependent Claim(s) present:				+ \$270.00	= \$0.00
Surcharge under 37 CFR 1.16(e) for late filing of Executed Declaration and late payment of filing fee				+ \$130.00	= \$130.00
				SUBTOTAL:	= \$1200.00
<input checked="" type="checkbox"/> Small Entity Fees Apply (subtract ½ of above):					= \$600.00
				TOTAL FILING FEE:	= \$600.00

A check in the amount of \$0.00 to cover the filing fee is enclosed.

The required filing fees are not enclosed but will be submitted in response to the Notice to File Missing Parts of Application.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Date 8/26/01

By Johnny A. Kumar

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**TRANSMITTAL OF FORMAL DRAWINGS**

Commissioner for Patents  
Washington, D.C. 20231

ATTENTION: DRAWING REVIEW BRANCH

Sir:

Transmitted herewith are the formal drawings (11 sheets, Figures 1-10(b)) for the above-identified application. The Official Draftsperson is respectfully requested to approve these drawings for entry into the application.

Respectfully submitted,

Date 8/28/01

By Johnny A. Kumar

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